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ON THE LOCATION OF ZEROS OF POLYNOMIALS

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ABSTRACT

In this paper we restrict the real and imaginary parts of the coefficients of a polynomial and find a region containing all its zeros. In addition to being generalizations of some known results, our results give many other interesting results for particular choices of the parameters.

Key words:

Coefficients, Polynomial, Zeros.

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INTRODUCTION

As for the region containing all the zeros of a polynomial with real monotonically decreasing positive coefficients, Enestrom and kakeya proved the following elegant result known as the Enestrom-Kakeya Theorem [3,4]:

Theorem A: all the zeros of a polynomial $P(z) = \sum_{j=0}^n a_j z^j$ satisfying $a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0$ lie in $|z| \leq 1$.

Various generalizations and extensions of this result are available in the literature. Recently Gulzar [2] proved the following result:

Theorem A: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\text{Re}(a_j) = r_j, \text{Im}(a_j) = s_j, j = 0,1,2,\dots,n$ such

that for some $\gamma, \alpha, 0 \leq \gamma \leq n-1, 0 \leq \alpha \leq n-1$

and for some $k_1, k_2 \geq 1$,

$$k_1^{n-\gamma+1} r_n \geq k_1^{n-\gamma} r_{n-1} \geq \dots \geq k_1^2 r_{\gamma+1} \geq k_1 r_\gamma,$$

$$k_2^{n-\alpha+1} s_n \geq k_2^{n-\alpha} s_{n-1} \geq \dots \geq k_2^2 s_\alpha \geq k_2 s_\alpha,$$

and

$$L = |r_\gamma - r_{\gamma-1}| + |r_{\gamma-1} - r_{\gamma-2}| + \dots + |r_1 - r_0| + |r_0|,$$

$$M = |s_\alpha - s_{\alpha-1}| + |s_{\alpha-1} - s_{\alpha-2}| + \dots + |s_1 - s_0| + |s_0|.$$

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Then all the zeros of $P(z)$ lie in

$$\left| z + \frac{(k_1 - 1)r_n + i(k_2 - 1)s_n}{a_n} \right| \leq \frac{1}{|a_n|} [r_n + s_n + (k_1 - 1) \sum_{j=\gamma+1}^n (|r_j| + r_j) - (k_2 - 1) \sum (|s_j| + s_j) - (k_1 - 1)|r_n| - (k_2 - 1)|s_n| + L + M - r_\gamma - s_\gamma].$$

MAIN RESULTS

In this paper we prove the following result:

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\text{Re}(a_j) = r_j, \text{Im}(a_j) = s_j, j = 0, 1, 2, \dots, n$ such

that for some $\gamma, \sim, 0 \leq \gamma \leq n - 1, 0 \leq \sim \leq n - 1$

and for some $k_1, k_2 \leq 1,$

$$k_1^{n-\gamma+1} r_n \leq k_1^{n-\gamma} r_{n-1} \leq \dots \leq k_1^2 r_{\gamma+1} \leq k_1 r_\gamma,$$

$$k_2^{n-\sim+1} s_n \leq k_2^{n-\sim} s_{n-1} \leq \dots \leq k_2^2 s_\sim \leq k_2 s_\sim,$$

and

$$L = |r_\gamma - r_{\gamma-1}| + |r_{\gamma-1} - r_{\gamma-2}| + \dots + |r_1 - r_0| + |r_0|,$$

$$M = |s_\sim - s_{\sim-1}| + |s_{\sim-1} - s_{\sim-2}| + \dots + |s_1 - s_0| + |s_0|.$$

Then all the zeros of $P(z)$ lie in

$$\left| z - \frac{(1 - k_1)r_n + i(1 - k_2)s_n}{a_n} \right| \leq \frac{1}{|a_n|} [r_\gamma + s_\sim - (k_1 r_n + k_2 s_n) + L + M + (1 - k_1) \sum_{j=\gamma+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=\sim+1}^{n-1} (s_j + |s_j|)].$$

Further the number of zeros of $P(z)$ in $\frac{|a_0|}{X} \leq |z| \leq \frac{R}{c}, c > 1, R \geq 1$ does not exceed $\frac{1}{\log c} \log \frac{X + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{X}{|a_0|})$

and the number of zeros of $P(z)$ in $\frac{|a_0|}{Y} \leq |z| \leq \frac{R}{c}, c > 1, R \leq 1$ does not exceed $\frac{1}{\log c} \log \frac{Y + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{Y}{|a_0|}),$

where R is any positive number and

$$X = |a_n| R^{n+1} + R^n [r_\gamma + s_\sim - (r_n + s_n) + (1 - k_1) \sum_{j=\gamma+1}^n (|r_j| + r_j)$$

$$+ (1 - k_2) \sum_{j=\sim+1}^n (|s_j| + s_j)], R \geq 1$$

$$Y = |a_n| R^{n+1} + R [r_\gamma + s_\sim - (r_n + s_n) + (1 - k_1) \sum_{j=\gamma+1}^n (|r_j| + r_j)$$

$$+ (1 - k_2) \sum_{j=\sim+1}^n (|s_j| + s_j)], R \leq 1.$$

For different values of the parameters in Theorem 1, we get different interesting results. For example, for

$k_1 = k_2 = 1,$ Theorem 1 gives the following result:

Corollary 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\text{Re}(a_j) = r_j, \text{Im}(a_j) = s_j, j = 0, 1, 2, \dots, n$ such

that for some $\gamma, \sim, 0 \leq \gamma \leq n - 1, 0 \leq \sim \leq n - 1,$

$$r_n \leq r_{n-1} \leq \dots \leq r_{j+1} \leq r_j,$$

$$s_n \leq s_{n-1} \leq \dots \leq s_{-} \leq s_{-},$$

and

$$L = |r_j - r_{j-1}| + |r_{j-1} - r_{j-2}| + \dots + |r_1 - r_0| + |r_0|,$$

$$M = |s_{-} - s_{-1}| + |s_{-1} - s_{-2}| + \dots + |s_1 - s_0| + |s_0|.$$

Then all the zeros of P(z) lie in

$$|z| \leq \frac{1}{|a_n|} [r_j + s_{-} - (r_n + s_n) + L + M].$$

Further the number of zeros of P(z) in $\frac{|a_0|}{X} \leq |z| \leq \frac{R}{c}, c > 1, R \geq 1$ does not exceed $\frac{1}{\log c} \log \frac{X + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{X}{|a_0|})$

and the number of zeros of P(z) in $\frac{|a_0|}{Y} \leq |z| \leq \frac{R}{c}, c > 1, R \leq 1$ does not exceed $\frac{1}{\log c} \log \frac{Y + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{Y}{|a_0|})$,

where R is any positive number and

$$X = |a_n| R^{n+1} + R^n [r_j + s_{-} - (r_n + s_n) + L + M], \quad R \geq 1$$

$$Y = |a_n| R^{n+1} + R [r_j + s_{-} - (r_n + s_n) + L + M], \quad R \leq 1.$$

Taking $\} = \sim = 0$ in Theorem 1, we get the following result:

Corollary 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\text{Re}(a_j) = r_j, \text{Im}(a_j) = s_j, j = 0, 1, 2, \dots, n$ such

that for some $0 < k_1, k_2 \leq 1$,

$$k_1^n r_n \leq k_1^{n-1} r_{n-1} \leq \dots \leq k_1 r_1 \leq r_0,$$

$$k_2^n s_n \leq k_2^{n-1} s_{n-1} \leq \dots \leq k_2 s_{-} \leq s_0.$$

Then all the zeros of P(z) lie in

$$\left| z - \frac{(1-k_1)r_n + i(1-k_2)s_n}{a_n} \right| \leq \frac{1}{|a_n|} [r_0 + s_0 - (k_1 r_n + k_2 s_n) + |r_0| + |s_0|].$$

Further the number of zeros of P(z) in $\frac{|a_0|}{X} \leq |z| \leq \frac{R}{c}, c > 1, R \geq 1$ does not exceed $\frac{1}{\log c} \log \frac{X + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{X}{|a_0|})$

and the number of zeros of P(z) in $\frac{|a_0|}{Y} \leq |z| \leq \frac{R}{c}, c > 1, R \leq 1$ does not exceed $\frac{1}{\log c} \log \frac{Y + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{Y}{|a_0|})$,

where R is any positive number and

$$X = |a_n| R^{n+1} + R^n [r_0 + s_0 - (r_n + s_n) + |r_0| + |s_0|], \quad R \geq 1$$

$$Y = |a_n| R^{n+1} + R [r_0 + s_0 - (r_n + s_n) + |r_0| + |s_0|], \quad R \leq 1.$$

Lemmas

For the proofs of the above result, we need the following lemmas:

Lemma 1: Let f(z) (not identically zero) be analytic for $|z| \leq R, f(0) \neq 0$ and $f(a_k) = 0, k = 1, 2, \dots, n$. Then

$$\frac{1}{2f} \int_0^{2f} \log|f(\operatorname{Re}^{i\theta})| d\theta - \log|f(0)| = \sum_{j=1}^n \log \frac{R}{|a_j|}.$$

Lemma 2 is the famous Jensen's Theorem (see page 208 of [1]).

Lemma 2: Let $f(z)$ be analytic for $|z| \leq R, f(0) \neq 0$ and $|f(z)| \leq M$ for $|z| \leq R$. Then the number of zeros of $f(z)$ in

$$\left|z\right| \leq \frac{R}{c}, c > 1 \text{ does not exceed } \frac{1}{\log c} \log \frac{M}{|f(0)|}.$$

Lemma 2 is a simple deduction from Lemma 1.

Proof of Theorem 1

Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{j+1} - a_j)z^{j+1} + (a_j - a_{j-1})z^j \\ &+ \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} - (k_1 - 1)r_n z^n + (k_1 r_n - r_{n-1})z^n + (k_1 r_{n-1} - r_{n-2})z^{n-1} + \dots \\ &+ (k_1 r_{j+1} - r_j)z^{j+1} + (r_j - r_{j-1})z^j + \dots + (r_1 - r_0)z + r_0 \\ &- (k_1 - 1)(r_{n-1} z^{n-1} + r_{n-2} z^{n-2} + \dots + r_{j+1} z^{j+1}) \\ &+ i\{(k_2 s_n - s_{n-1})z^n - (k_2 - 1)s_n z^n + (k_2 s_{n-1} - s_{n-2})z^{n-1} + \dots \\ &+ (k_2 s_{j+1} - s_j)z^{j+1} - (k_2 - 1)(s_{n-1} z^{n-1} + \dots + s_{j+1} z^{j+1}) + (s_j - s_{j-1})z^j \\ &+ \dots + (s_1 - s_0)z + s_0\} \end{aligned}$$

For $|z| > 1$ so that $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$, we have, by using the hypothesis

$$\begin{aligned} |F(z)| &\geq |a_n z + (k_1 - 1)r_n + i(k_2 - 1)s_n||z|^n - [|k_1 r_n - r_{n-1}||z|^n + |k_1 r_{n-1} - r_{n-2}||z|^{n-1} + \dots \\ &+ |k_1 r_{j+1} - r_j||z|^{j+1} + |r_j - r_{j-1}||z|^j + \dots + |r_1 - r_0||z| + |r_0| \\ &+ (1 - k_1)(|r_{n-1}||z|^{n-1} + \dots + |r_{j+1}||z|^{j+1}) + |k_2 s_n - s_{n-1}||z|^n + |k_2 s_{n-1} - s_{n-2}||z|^{n-1} \\ &+ \dots + |k_2 s_{j+1} - s_j||z|^{j+1} + |s_j - s_{j-1}||z|^j + \dots + |s_1 - s_0||z| + |s_0| \\ &+ (1 - k_2)(|s_{n-1}||z|^{n-1} + \dots + |s_{j+1}||z|^{j+1})] \\ &= |z|^n [|a_n z + (k_1 - 1)r_n + i(k_2 - 1)s_n| - [|k_1 r_n - r_{n-1}| + \frac{|k_1 r_{n-1} - r_{n-2}|}{|z|} + \frac{|k_1 r_{n-2} - r_{n-3}|}{|z|^2} \\ &+ \dots + \frac{|k_1 r_{j+1} - r_j|}{|z|^{n-j-1}} + \frac{|r_j - r_{j-1}|}{|z|^{n-j}} + \dots + \frac{|r_1 - r_0|}{|z|^{n-1}} + \frac{|r_0|}{|z|^n} + (k_1 - 1)(\frac{|r_{n-1}|}{|z|} + \dots \\ &+ \frac{|r_{j+1}|}{|z|^{n-j-1}) + |k_2 s_n - s_{n-1}| + \frac{|k_2 s_{n-1} - s_{n-2}|}{|z|} + \dots + \frac{|k_2 s_{j+1} - s_j|}{|z|^{n-j-1}} + \frac{|s_j - s_{j-1}|}{|z|^{n-j}} \\ &+ \dots + \frac{|s_1 - s_0|}{|z|^{n-1}} + \frac{|s_0|}{|z|^n} + (k_2 - 1)(\frac{|s_{n-1}|}{|z|} + \dots + \frac{|s_{j+1}|}{|z|^{n-j-1})] \\ &> |z|^n [|a_n z + (k_1 - 1)r_n + i(k_2 - 1)s_n| - \{|k_1 r_n - r_{n-1}| + |k_1 r_{n-1} - r_{n-2}| + |k_1 r_{n-2} - r_{n-3}| \} \end{aligned}$$

$$\begin{aligned}
 &+ \dots + |k_1 r_{j+1} - r_j| + |r_j - r_{j-1}| + \dots + |r_1 - r_0| + |r_0| + (1 - k_1)(|r_{n-1}| + \dots \\
 &+ |r_{j+1}|) + |k_2 s_n - s_{n-1}| + |k_2 s_{n-1} - s_{n-2}| + \dots + |k_2 s_{-+1} - s_-| + |s_- - s_{-1}| \\
 &+ \dots + |s_1 - s_0| + |s_0| + (1 - k_2)(|s_{n-1}| + \dots + |s_{-+1}|) \} \\
 &= |z|^n [|a_n z + (k_1 - 1)r_n + i(k_2 - 1)s_n| - \{r_{n-1} - k_1 r_n + r_{n-2} - k_1 r_{n-1} + r_{n-3} - k_1 r_{n-2} \\
 &+ \dots + r_j - k_1 r_{j+1} + L + (1 - k_1)(|r_{n-1}| + \dots + |r_{j+1}|) + s_{n-1} - k_2 s_n \\
 &+ s_{n-2} - k_2 s_{n-1} + \dots + s_- - k_2 s_{-+1} + M + (1 - k_2)(|s_{n-1}| + \dots + |s_{-+1}|) \}] \\
 &= |z|^n [|a_n z - \{ (1 - k_1)r_n + i(1 - k_2)s_n \}| - \{r_j + s_- - (k_1 r_n + k_2 s_n) + L + M \\
 &+ (1 - k_1) \sum_{j=j+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=-+1}^{n-1} (s_j + |s_j|) \}] \\
 &> 0
 \end{aligned}$$

if

$$\begin{aligned}
 &|a_n z - \{ (1 - k_1)r_n + i(1 - k_2)s_n \}| > r_j + s_- - (k_1 r_n + k_2 s_n) + L + M \\
 &+ (1 - k_1) \sum_{j=j+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=-+1}^{n-1} (s_j + |s_j|)
 \end{aligned}$$

i.e. if

$$\begin{aligned}
 &\left| z - \frac{(1 - k_1)r_n + i(1 - k_2)s_n}{a_n} \right| > \frac{1}{|a_n|} [r_j + s_- - (k_1 r_n + k_2 s_n) + L + M \\
 &+ (1 - k_1) \sum_{j=j+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=-+1}^{n-1} (s_j + |s_j|)].
 \end{aligned}$$

This shows that those zeros of F(z) whose modulus is greater than 1 lie in

$$\begin{aligned}
 &\left| z - \frac{(1 - k_1)r_n + i(1 - k_2)s_n}{a_n} \right| \leq \frac{1}{|a_n|} [r_j + s_- - (k_1 r_n + k_2 s_n) + L + M \\
 &+ (1 - k_1) \sum_{j=j+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=-+1}^{n-1} (s_j + |s_j|)].
 \end{aligned}$$

Since the zeros of F(z) whose modulus is less than or equal to 1 already satisfy the above inequality and since the zeros of P(z) are also the zeros of F(z), it follows that all the zeros of P(z) lie in

$$\begin{aligned}
 &\left| z - \frac{(1 - k_1)r_n + i(1 - k_2)s_n}{a_n} \right| \leq \frac{1}{|a_n|} [r_j + s_- - (k_1 r_n + k_2 s_n) + L + M \\
 &+ (1 - k_1) \sum_{j=j+1}^{n-1} (r_j + |r_j|) + (1 - k_2) \sum_{j=-+1}^{n-1} (s_j + |s_j|)].
 \end{aligned}$$

Again

$$F(z) = a_0 + G(z),$$

where

$$\begin{aligned}
 G(z) = &-a_n z^{n+1} - (k_1 - 1)r_n z^n + (k_1 r_n - r_{n-1})z^n + (k_1 r_{n-1} - r_{n-2})z^{n-1} + \dots \\
 &+ (k_1 r_{j+1} - r_j)z^{j+1} + (r_j - r_{j-1})z^j + \dots + (r_1 - r_0)z \\
 &- (k_1 - 1)(r_{n-1}z^{n-1} + r_{n-2}z^{n-2} + \dots + r_{j+1}z^{j+1}) \\
 &+ i\{(k_2 s_n - s_{n-1})z^n - (k_2 - 1)s_n z^n + (k_2 s_{n-1} - s_{n-2})z^{n-1} + \dots \\
 &+ (k_2 s_{-+1} - s_-)z^{-+1} - (k_2 - 1)(s_{n-1}z^{n-1} + \dots + s_{-+1}z^{-+1}) + (s_- - s_{-1})z^- \\
 &+ \dots + (s_1 - s_0)z\}.
 \end{aligned}$$

For $|z| = R, R > 0$, we have

$$\begin{aligned}
 |G(z)| &\leq |a_n| R^{n+1} + (1-k_1)|r_n| R^n + (r_{n-1} - k_1 r_n) R^n + (r_{n-2} - k_1 r_{n-1}) R^{n-1} + \dots \\
 &\quad + (r_{\gamma} - k_1 r_{\gamma+1}) R^{\gamma+1} + |r_{\gamma} - r_{\gamma-1}| R^{\gamma} + \dots + |r_1 - r_0| R \\
 &\quad + (1-k_1)(|r_{n-1}| R^{n-1} + |r_{n-2}| R^{n-2} + \dots + |r_{\gamma+1}| R^{\gamma+1}) \\
 &\quad + (s_{n-1} - k_2 s_n) R^n + (1-k_2)|s_n| R^n + (s_{n-2} - k_2 s_{n-1}) R^{n-1} + \dots \\
 &\quad + (s_{\gamma} - k_2 s_{\gamma+1}) R^{\gamma+1} + (1-k_2)(|s_{n-1}| R^{n-1} + \dots + |s_{\gamma+1}| R^{\gamma+1}) + |s_{\gamma} - s_{\gamma-1}| R^{\gamma} \\
 &\quad + \dots + |s_1 - s_0| R \\
 &\leq |a_n| R^{n+1} + R^n [(1-k_1)|r_n| + r_{n-1} - k_1 r_n + r_{n-2} - k_1 r_{n-1} + \dots + r_{\gamma} - k_1 r_{\gamma+1}] + L \\
 &\quad + (1-k_1)(|r_{n-1}| + \dots + |r_{\gamma+1}|) + s_{n-1} - k_2 s_n \\
 &\quad + s_{n-2} - k_2 s_{n-1} + \dots + s_{\gamma} - k_2 s_{\gamma+1} + M + (1-k_2)(|s_{n-1}| + \dots + |s_{\gamma+1}|) \\
 &\quad = |a_n| R^{n+1} + R^n [r_{\gamma} + s_{\gamma} - (r_n + s_n) + (1-k_1) \sum_{j=\gamma+1}^n (|r_j| + r_j) \\
 &\quad \quad \quad + (1-k_2) \sum_{j=\gamma+1}^n (|s_j| + s_j)] \\
 &= X
 \end{aligned}$$

for $R \geq 1$ and
for $R \leq 1$

$$\begin{aligned}
 |G(z)| &\leq |a_n| R^{n+1} + R[r_{\gamma} + s_{\gamma} - (r_n + s_n) + (1-k_1) \sum_{j=\gamma+1}^n (|r_j| + r_j) \\
 &\quad \quad \quad + (1-k_2) \sum_{j=\gamma+1}^n (|s_j| + s_j)] \\
 &= Y
 \end{aligned}$$

Since $G(0)=0$ and $G(z)$ is analytic for $|z| \leq R$, it follows, by Schwarz Lemma, that for $|z| \leq R$,

$$|G(z)| \leq X|z| \text{ for } R \geq 1 \text{ and } |G(z)| \leq Y|z| \text{ for } R \leq 1.$$

Hence, for $|z| \leq R, R \geq 1$

$$\begin{aligned}
 |F(z)| &= |a_0 + G(z)| \\
 &\geq |a_0| - |G(z)| \\
 &\geq |a_0| - X|z| \\
 &> 0
 \end{aligned}$$

if

$$|z| < \frac{|a_0|}{X}.$$

Similarly, for $|z| \leq R, R \leq 1, |F(z)| > 0$ if $|z| < \frac{|a_0|}{Y}$.

In other words, $F(z)$ does not vanish in $|z| < \frac{|a_0|}{X}$ for $R \geq 1$ and $F(z)$ does not vanish in $|z| < \frac{|a_0|}{Y}$ for $R \leq 1$ in $|z| \leq R$. That means all the zeros of $F(z)$ and hence all the zeros of $P(z)$ lie in $|z| \geq \frac{|a_0|}{X}$ for $R \geq 1$ and in $|z| \geq \frac{|a_0|}{Y}$ that for $R \leq 1$ in $|z| \leq R$.

Since for $|z| \leq R$, $|F(z)| \leq X + |a_0|$ for $R \geq 1$ and $|F(z)| \leq Y + |a_0|$ for $R \leq 1$ and since

$F(0) = a_0 \neq 0$, it follows by Lemma 2 that the number of zeros of $P(z)$ in $\frac{|a_0|}{X} \leq |z| \leq \frac{R}{c}$, $c > 1, R \geq 1$ does not exceed

$\frac{1}{\log c} \log \frac{X + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{X}{|a_0|})$ and the number of zeros of $P(z)$ in $\frac{|a_0|}{Y} \leq |z| \leq \frac{R}{c}$, $c > 1, R \leq 1$ does not exceed

$\frac{1}{\log c} \log \frac{Y + |a_0|}{|a_0|} = \frac{1}{\log c} \log(1 + \frac{Y}{|a_0|})$.

That proves Theorem 1 completely.

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